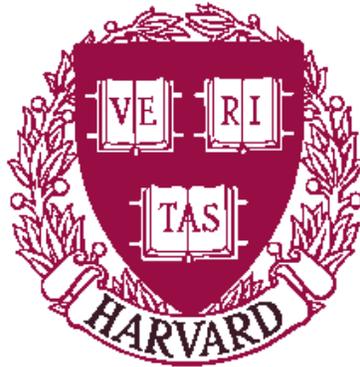


**Single Database Private Information  
Retrieval with Logarithmic  
Communication**

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# Single Database Private Information Retrieval with Logarithmic Communication

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**Abstract.** We study the problem of single database private information retrieval, and present a solution with only logarithmic server-side communication complexity and a solution with only logarithmic user-side communication complexity. Previously the best result could only achieve polylogarithmic communication on each side, and was based on certain less well-studied assumptions in number theory [6]. On the contrary, our schemes are based on Paillier’s cryptosystem [16], which along with its variants have drawn extensive studies in recent cryptographic researches [3, 4, 8, 9], and have many important applications [7, 8].

In fact, our schemes directly yield implementations for 1-out-of- $N$   $\ell$ -bit string oblivious transfer with  $O(\ell)$  sender-side communication (against semi-honest receivers and malicious senders). Note the sender-side communication complexity is independent of  $N$ , the constant hidden in the big- $O$  notation is quite small, and  $\ell$  is unrestricted. Moreover, we show a way to do communication balancing between the sender-side and the receiver-side, and show how to handle malicious receivers with small communication overheads.

## 1 Introduction

Single database private information retrieval (1dPIR) is a cryptographic protocol between a database server, who has an  $N$ -bit database  $x$ , and a user, who has an index  $1 \leq i \leq N$ , such that the user can learn the  $i$ -th bit of  $x$  without revealing his index while the database server can send less than  $N$  bits to the user (as otherwise the problem becomes trivial). In addition to its numerous applications [1], 1dPIR is a very strong cryptographic primitive in that it can be used to construct oblivious transfer [5], a cryptographic primitive that is known to be *complete* for secure computations [12]. Historically, the first 1dPIR scheme was proposed in [13], with its security based on the hardness of the quadratic residuosity problem and with superlogarithmic communication complexity. After that, in fact, only a few implementations of 1dPIR were discovered.

Specifically, a scheme with polylogarithmic communication was proposed in [6]; however, its security is based on certain less well-studied assumptions in number theory, i.e. the hardness of  $\Phi$ -Hiding and the existence of  $\Phi$ -Sampling.

Besides, the only known result is 1dPIR can be based on trapdoor permutations [14]. As the result of [14] is reduction-oriented, it requires more communication than the previous schemes.

In this paper, we present a 1dPIR scheme with only logarithmic server-side communication complexity and a 1dPIR scheme with only logarithmic user-side communication complexity, which break the polylogarithmic bounds given in [6]. Our schemes are based on the additive homomorphic properties of Paillier’s cryptosystem [16], which is *semantically secure* under Composite Residuosity Assumption (CRA). CRA is a natural extension of the well-studied Quadratic Residuosity Assumption (QRA) stating that it is computationally intractable to decide whether a random element in  $\mathbb{Z}_n^*$  has a square root modulo  $n$ , where  $n$  is a RSA modulus. And CRA states that it is computationally intractable to decide whether a random element in  $\mathbb{Z}_{n^2}^*$  has an  $n$ -th root modulo  $n^2$ .

Because Paillier’s cryptosystem along with its variants have drawn extensive studies in recent cryptographic researches [3, 4, 8, 9] (just to cite a few), and have many important applications (e.g., the Cramer-Shoup CCA2 encryption scheme in the standard model [7] and the Damgård-Jurik electronic voting scheme [8]), we believe CRA could be a good candidate for hardness assumption.

Supposing the security parameter is  $O(\log N)$  bits in length, we can use the following table to compare our results with other known 1dPIR schemes:<sup>1</sup> (Here  $d \gg 1$ , and  $\epsilon$  can be any positive constant.)

Result	Server-side Comm.	User-side Comm.	Computational Assumption
[13]	$O(N^\epsilon)$	$O(N^\epsilon \log N)$	Quadratic Residuosity is hard
[6]	$O((\log N)^d)$	$O((\log N)^4)$	$\Phi$ -Hiding is hard, $\exists \Phi$ -Sampling
[14]	$N(1 - \frac{1}{6N^\epsilon}) + O(N^{2\epsilon})$	$O(N^{2\epsilon})$	$\exists$ Trapdoor Permutations
Theorem 1	$O(\log N)$	$O(N^\epsilon \log N)$	Composite Residuosity is hard
Theorem 2	$2^{\log N - 1/\epsilon}$	$O(\log N)$	Composite Residuosity is hard

Clearly, our results are more efficient than all the previous solutions regarding the one-side communication complexity. In fact, our schemes can be directly used to implement 1-out-of- $N$   $\ell$ -bit string oblivious transfer ( $\binom{N}{1}\text{OT}^\ell$ ), which is a cryptographic protocol between a sender, who has  $N$   $\ell$ -bit strings, and a receiver, who has an index  $1 \leq i \leq N$ , such that receiver can obtain the  $i$ -th string from sender without revealing his index and can learn nothing more. Our constructions for  $\binom{N}{1}\text{OT}^\ell$  only require  $O(\ell)$  sender-side communication complexity, and are secure against semi-honest receivers and malicious senders. Note the sender-side communication complexity is independent of  $N$ , the constant hidden in big- $O$  notation is quite small, and  $\ell$  is unrestricted. Moreover, we show a natural way to do communication balancing between the sender-side and the receiver-side, and show a way to make our schemes secure against malicious receivers under CRA with only small communication overheads.

<sup>1</sup> Y. Ishai, E. Kushilevitz, and R. Ostrovsky discover a similar approach to build efficient 1dPIR protocols using Paillier’s cryptosystem, and their approach can also achieve the same result as our Theorem 1. We are informed by E. Kushilevitz.

We organize the rest of this paper as follows. In section 2, we first define 1dPIR and  $\binom{N}{1}\text{OT}^\ell$  and then introduce CRA as well as the nice properties of Paillier’s cryptosystem. In section 3, we present several schemes for 1dPIR with different communication efficiency, and show how to use them to implement efficient schemes for  $\binom{N}{1}\text{OT}^\ell$  with capability of doing communication balancing. In Section 4, finally, we consider the case of malicious receivers.

## 2 Preliminaries

For an integer  $\ell \in \mathbb{N}$ , let  $[\ell]$  denote the set  $\{1, 2, \dots, \ell\}$ . For an  $N$ -bit string  $x$ , let  $x[i]_{i \in [N]}$  denote its  $i$ -th bit. A *semi-honest* player always follows the protocol properly with the exception that it keeps a record of all its intermediate computations [10]. On the other hand, we put no restriction on the behavior of a *malicious* player. We use the notation  $a \stackrel{R}{\leftarrow} A$  to denote choosing an element  $a$  uniformly at random from the set  $A$ , and use *PPT* to denote *probabilistic polynomial time*. Also, we say a function is negligible in  $k$  if for any polynomial  $p$  there exists a  $k_0$  such that for all  $k > k_0$  we have  $f(k) < 1/p(k)$ . All logarithms in this paper have base 2.

Moreover, an encryption scheme is *semantically secure* if it hides all partial information of the input, or equivalently, if it is *polynomial time indistinguishable*, i.e. there is no adversary can find even two messages which encryptions he can distinguish between [11]. We state them formally as follows.

**Definition 1.** A probabilistic encryption scheme  $\mathcal{E}$  with security parameter  $k$ , input domain  $\mathcal{M}(k)$  and randomness domain  $\mathcal{R}(k)$  is said to be semantically secure if for any PPT algorithm  $A$ , any message  $m \in \mathcal{M}(k)$  and any function  $h$ , there is PPT algorithm  $B$  such that the following value is negligible in  $k$ :

$$|\Pr[A(1^k, c) = h(m) \mid r \stackrel{R}{\leftarrow} \mathcal{R}(k), c = \mathcal{E}(m, r)] - \Pr[B(1^k) = h(m)]|.$$

**Definition 2.** A probabilistic encryption scheme  $\mathcal{E}$  with security parameter  $k$ , input domain  $\mathcal{M}(k)$  and randomness domain  $\mathcal{R}(k)$  is said to be polynomial time indistinguishable if for any PPT algorithm  $A$  and any two messages  $m_0, m_1 \in \mathcal{M}(k)$ , the following value is negligible in  $k$ :

$$|\Pr[A(1^k, m_0, m_1, c) = m_b \mid b \stackrel{R}{\leftarrow} \{0, 1\}, r \stackrel{R}{\leftarrow} \mathcal{R}(k), c = \mathcal{E}(m_b, r)] - 1/2|.$$

**Lemma 1.** [11] A probabilistic encryption scheme is semantically secure if and only if it is polynomial time indistinguishable.

### 2.1 Single Database Private Information Retrieval and Oblivious Transfer

In this section, we define 1dPIR and  $t$ -out-of- $N$   $\ell$ -bit string oblivious transfer  $\binom{N}{t}\text{OT}^\ell$ .

**Definition 3.** Single database private information retrieval (1dPIR) is a protocol between two players Server, who has an  $N$ -bit string  $x$ , and User, who has an index  $i \in [N]$ , that guarantees

1. *Correctness:* User can learn  $x[i]$  and Server can send less than  $N$  bits to User, and
2. *User's security:* for any PPT algorithm  $A$  and any  $j \in [N]$ , the following value is negligible in the security parameter  $k$ :

$$|\Pr[A(1^k, C_k(i)) = 1] - \Pr[A(1^k, C_k(j)) = 1]|,$$

where  $C_k(y)$  is the distribution of communication from User induced by an index  $y \in [N]$ .

**Definition 4.**  $t$ -out-of- $N$   $\ell$ -bit string oblivious transfer ( $\binom{N}{t}\text{OT}^\ell$ ) is a protocol between two players Sender, who has  $N$   $\ell$ -bit strings  $x_1, x_2, \dots, x_N$ , and Receiver, who has  $t$  indexes  $i_1, i_2, \dots, i_t \in [N]$ , that guarantees

1. *Correctness:* User can learn  $x_{i_1}, x_{i_2}, \dots, x_{i_t}$ , and
2. *Receiver's security:* for any PPT algorithm  $A$  and any  $j_1, j_2, \dots, j_t \in [N]$ , the following value is negligible in the security parameter  $k$ :

$$|\Pr[A(1^k, C_k(i_1, i_2, \dots, i_t)) = 1] - \Pr[A(1^k, C_k(j_1, j_2, \dots, j_t)) = 1]|,$$

where  $C_k(y_1, y_2, \dots, y_t)$  is the distribution of communication from Receiver induced by indexes  $y_1, y_2, \dots, y_t \in [N]$ , and

3. *Sender's security:* for any PPT algorithm  $A$  and any  $x'_1, x'_2, \dots, x'_N \in \{0, 1\}^\ell$  such that  $x'_{i_1} = x_{i_1}, x'_{i_2} = x_{i_2}, \dots, x'_{i_t} = x_{i_t}$ , the following value is negligible in the security parameter  $k$ :

$$|\Pr[A(1^k, C_k(x_1, x_2, \dots, x_N)) = 1] - \Pr[A(1^k, C_k(x'_1, x'_2, \dots, x'_N)) = 1]|,$$

where  $C_k(z_1, z_2, \dots, z_N)$  is the distribution of communication from Sender induced by strings  $z_1, z_2, \dots, z_N \in \{0, 1\}^\ell$ .

## 2.2 Composite Residuosity Assumption

Let  $n = pq$  be a RSA modulus, i.e. product of two safe primes of the same length in bits. (A prime  $p$  is *safe* if it has the form of  $2q + 1$  with  $q$  also a prime). Consider the multiplicative group  $\mathbb{Z}_{n^2}^*$ .

**Definition 5.** An element  $z \in \mathbb{Z}_{n^2}^*$  is said to be an  $n$ -th residue if there exists an element  $y \in \mathbb{Z}_{n^2}^*$  such that  $z = y^n \pmod{n^2}$ , otherwise it is said to be an  $n$ -th non-residue.

Note the problem to distinguish  $n$ -th residues from  $n$ -th non-residues, like the problem to decide quadratic residues and quadratic non-residues, is *random-self-reducible*, i.e. each instance of the problem is an average case [16]. Specifically, all instances of a random-self-reducible problem are either uniformly intractable or uniformly solvable in polynomial time [2].

**Definition 6.** *Composite Residuosity Assumption (CRA):* If the factorization of  $n$  is unknown, there is no PPT distinguisher for  $n$ -th residues modulo  $n^2$  [16].<sup>2</sup>

Note due to the random-self-reducibility, the validity of CRA only depends on the choice of  $n$  [16].

### 2.3 Paillier's Cryptosystem

Let  $n = pq$  be a RSA modulus, i.e. product of two safe primes of the same length in bits. Consider the multiplicative group  $\mathbb{Z}_{n^2}^*$ . Given any  $g \in \mathbb{Z}_{n^2}^*$  whose order is a non-zero multiple of  $n$  (for example,  $g = n + 1$ ), it can be shown that  $g$  induces a bijection [16]:

$$\begin{aligned} \mathcal{E}_g(a, b) &= g^a b^n \bmod n^2. \\ (\mathbb{Z}_n \times \mathbb{Z}_n^* &\rightarrow \mathbb{Z}_{n^2}^*) \end{aligned}$$

In other words, for every element  $w \in \mathbb{Z}_{n^2}^*$ , there exists a unique pair  $(a, b) \in \mathbb{Z}_n \times \mathbb{Z}_n^*$  such that we have  $w = g^a b^n \bmod n^2$ , and vice versa. We know under CRA it is computationally intractable to compute  $a$  given only  $w$ ,  $n$  and  $g$ , as otherwise we can decide the  $n$ -th residuosity of  $w$ . However, if we know the factorization of  $n$ , we can compute  $a$  using the following method [16]:

$$a = \mathcal{D}_g(w) = \frac{L(w^\lambda \bmod n^2)}{L(g^\lambda \bmod n^2)} \bmod n,$$

where  $L(u) = (u - 1)/n$  for  $u \in \mathbb{Z}_{n^2}^*$ , and  $\lambda = lcm(p - 1, q - 1)$ .

Accordingly, Paillier defines a probabilistic public-key cryptosystem using  $\mathcal{E}_g$  as the encryption scheme for any message  $a \in \mathbb{Z}_n$  with randomness  $b \in \mathbb{Z}_n^*$  [16]. Specifically, the public keys are  $n$  and  $g$ , the private key is the factorization of  $n$ , and  $\mathcal{D}_g$  is the decryption scheme.

This cryptosystem has many nice properties. First, it is *additive homomorphic*. Note we have

$$\begin{aligned} - \mathcal{D}_g(\mathcal{E}_g(m_0, r_0)\mathcal{E}_g(m_1, r_1)) &= m_0 + m_1 \bmod n, \text{ and} \\ - \mathcal{D}_g(\mathcal{E}_g(m_0, r_0)^c) &= cm_0 \bmod n. \end{aligned}$$

Second, it is *semantically secure* under CRA [16]: assume  $m_0, m_1 \in \mathbb{Z}_n$  are two known messages and the ciphertext  $c$  is either from  $m_0$  or  $m_1$ ; note  $c$  is from  $m_0$  iff  $cg^{-m_0} \bmod n^2$  is an  $n$ -th residue. In other words, any successful chosen plaintext attack can be used to decide the composite residuosity, and vice versa.

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<sup>2</sup> In [16], this assumption is named Decisional Composite Residuosity Assumption (DCRA).

### 3 Cryptographic Schemes

#### 3.1 A Basic Scheme

W.l.o.g. we assume  $N = \ell^2$  for some  $\ell \in \mathbb{N}$ . Let  $x(i, j)_{i, j \in [\ell]}$  denote the bit  $x[(i-1)\ell + (j-1) + 1]$ , and let  $x(i^*, j^*)$  be the bit User wants to learn. Specifically, we treat the database as a 2-hypercube. Also, let  $I(t, t_0)$  be an indicating function such that  $I(t, t_0) = 1$  iff  $t = t_0$ , otherwise  $I(t, t_0) = 0$ .

##### PIR ON 2-HYPERCUBE

- **Initializing:** User sends  $\alpha_t = \mathcal{E}_g(I(t, i^*), r_t)$  and  $\beta_t = \mathcal{E}_g(I(t, j^*), s_t)$  to Server for  $t \in [\ell]$ , where  $r_t$  and  $s_t$  are chosen uniformly at random from  $\mathbb{Z}_n^*$ .
- **Filtering:** Server computes  $\sigma_i = \prod_{t \in [\ell]} (\beta_t)^{x(i, t)} \bmod n^2$  for  $i \in [\ell]$ .
- **Splitting-and-then-filtering:** Server splits each  $\sigma_i$  by computing  $u_i, v_i \in \mathbb{Z}_n$  such that  $\sigma_i = u_i n + v_i$ , and then sends  $u = \prod_{t \in [\ell]} (\alpha_t)^{u_t} \bmod n^2$  and  $v = \prod_{t \in [\ell]} (\alpha_t)^{v_t} \bmod n^2$  to User.
- **Reconstructing:** User computes  $x(i^*, j^*) = \mathcal{D}_g(\mathcal{D}_g(u)n + \mathcal{D}_g(v))$ .

**Lemma 2.** *Under CRA, PIR ON 2-HYPERCUBE is a one-round implementation of 1dPIR with Server-side communication  $2k$  bits and User-side communication  $2kN^{\frac{1}{2}}$  bits, where  $k = \lceil 2 \log n \rceil$  is the security parameter.*

*Proof.* First, we prove the correctness of the scheme. Note each  $\sigma_i$  is equal to  $\mathcal{E}_g(x(i, j^*), \tau_i)$  for some  $\tau_i \in \mathbb{Z}_n^*$  since  $\mathcal{E}_g$  is additive homomorphic. Similarly,  $u = \mathcal{E}_g(u_{i^*}, \tau_u)$  and  $v = \mathcal{E}_g(v_{i^*}, \tau_v)$  for some  $\tau_u, \tau_v \in \mathbb{Z}_n^*$ . Next, note  $\mathcal{D}_g(u)n + \mathcal{D}_g(v) = u_{i^*}n + v_{i^*} = \sigma_{i^*} = \mathcal{E}_g(x(i^*, j^*), \tau_{i^*})$  for some  $\tau_{i^*} \in \mathbb{Z}_n^*$ . Consequently,  $\mathcal{D}_g(\mathcal{D}_g(u)n + \mathcal{D}_g(v)) = x(i^*, j^*)$ . On the other hand, both the Server-side and the User-side communication complexity can be easily verified.

Next, we prove User's security. Note the only communication sent from User to Server consists of  $\{\alpha_t, \beta_t\}_{t \in [\ell]}$ . Let  $\{\alpha'_t, \beta'_t\}_{t \in [\ell]}$  be the communication induced by another  $(i', j') \neq (i^*, j^*)$ ,  $i', j' \in [\ell]$ . Clearly, if Server can distinguish these two distributions, it must be the case that Server either can distinguish the distributions of  $\{\alpha_t\}_{t \in [\ell]}$  and  $\{\alpha'_t\}_{t \in [\ell]}$  or can distinguish the distributions of  $\{\beta_t\}_{t \in [\ell]}$  and  $\{\beta'_t\}_{t \in [\ell]}$ . Suppose Server can distinguish the distributions of  $\{\alpha_t\}_{t \in [\ell]}$  and  $\{\alpha'_t\}_{t \in [\ell]}$ , then by standard hybrid argument we know Server can distinguish the distributions of either  $\alpha_{i^*} = \mathcal{E}_g(1, U_{\mathbb{Z}_n^*})$  and  $\alpha'_{i^*} = \mathcal{E}_g(0, U_{\mathbb{Z}_n^*})$  or  $\alpha_{i'} = \mathcal{E}_g(0, U_{\mathbb{Z}_n^*})$  and  $\alpha'_{i'} = \mathcal{E}_g(1, U_{\mathbb{Z}_n^*})$ , where  $U_{\mathbb{Z}_n^*}$  is the uniform distribution over  $\mathbb{Z}_n^*$ , as the distributions of  $\alpha_t$  and  $\alpha'_t$  are identical for  $t \in [\ell], t \neq i^*, i'$ . Obviously, this implies Server can be used to break the polynomial time indistinguishability of  $\mathcal{E}_g$ , a contradiction. Since the same argument holds for the case of  $\{\beta_t\}_{t \in [\ell]}$  and  $\{\beta'_t\}_{t \in [\ell]}$ , we finish the proof.  $\square$

**Lemma 3.** *Under CRA, PIR ON 2-HYPERCUBE is actually an implementation of  $\binom{N}{1} \text{OT}^1$  against semi-honest Receiver and malicious Sender.*

*Proof.* Just call Server *Sender* and call User *Receiver*. Note Receiver's security is guaranteed even if Sender is malicious, since the protocol starts from Receiver and is one-round, i.e. Receiver's message is independent of Sender's behavior.

Next, note Sender's security is guaranteed if Receiver is semi-honest, as the messages  $u, v$  sent from Server to User do not depend on  $x(i, j)_{i, j \in [\ell], (i, j) \neq (i^*, j^*)}$ . On the other hand, the correctness can be easily verified.  $\square$

### 3.2 Not Just A Bit

Let  $x'$  be an array of  $N$  entries with each entry containing a  $\lfloor \log n \rfloor$ -bit string. W.l.o.g., we use  $x'[i]_{i \in N}$  to denote the  $\lfloor \log n \rfloor$ -bit string in the  $i$ -th entry of  $x'$ , and similarly, we use  $x'(i, j)$  to denote  $x'[(i-1)\ell + (j-1) + 1]$  when  $N$  is assumed to be  $\ell^2$  for some  $\ell \in \mathbb{N}$ .

Now we make a small modification on our basic scheme: to replace  $x(i, t)$  in the second step of the basic scheme by  $x'(i, t)$ . Clearly, as long as  $x'(i^*, j^*) \in \mathbb{Z}_n$ , it can be reconstructed in the final step of the modified scheme by the nature of Paillier's cryptosystem. So we have the following.

**Corollary 1.** *Under CRA, PIR ON 2-HYPERCUBE can be modified to implement  $\binom{N}{1}\text{OT}^{\lfloor \log n \rfloor}$  against semi-honest Receiver and malicious Sender without increasing communication complexity.*

In fact, the above modification directly yields an implementation for  $\binom{N}{1}\text{OT}^\ell$  for any  $\ell > \lfloor \log n \rfloor$ . Here the reason is Sender can split each  $\ell$ -bit string into strings of  $\lfloor \log n \rfloor$  bits, construct respective arrays, and compute the returning messages separately. Note the protocol is parallelly one-round, and there is no need of additional communication from Receiver since his message can be reused. Moreover, the Sender-side communication is bounded by  $2k \lceil \ell / \lfloor \log n \rfloor \rceil = 2 \lceil 2 \log n \rceil \lceil \ell / \lfloor \log n \rfloor \rceil$  bits.

**Corollary 2.** *Under CRA, PIR ON 2-HYPERCUBE can be modified to implement  $\binom{N}{1}\text{OT}^\ell$  against semi-honest Receiver and malicious Sender with Sender-side communication  $O(\ell)$  bits.*

### 3.3 A Scheme on $c$ -hypercube

Recall in the basic scheme we treat the database  $x$  as a 2-hypercube. Actually, we can treat the database as a  $c$ -hypercube for any integer constant  $c > 2$ . And by recursive calls, we can achieve communication balance between the Server-side and the User-side, depending on the choice of  $c$ .

Here for illustration, let us first consider the case  $c = 3$ , and w.l.o.g. assume  $N = \ell^3$  for some  $\ell \in \mathbb{N}$ . Similarly, we use the notation  $x(i, j, \kappa)_{i, j, \kappa \in [\ell]}$  to denote the bit  $x[(i-1)\ell^2 + (j-1)\ell + (\kappa-1) + 1]$ , and let  $x(i^*, j^*, \kappa^*)$  be the bit User wants to learn. Moreover, we keep the definition of  $I(t, t_0)$ .

### PIR ON 3-HYPERCUBE

- **Initializing:** Server and User have to treat the 3-hypercube database  $x$  as  $\ell$  2-hypercube databases  $x(1) = x(i, j, 1)_{i,j \in [\ell]}$ ,  $x(2) = x(i, j, 2)_{i,j \in [\ell]}$ ,  $\dots$ ,  $x(\ell) = x(i, j, \ell)_{i,j \in [\ell]}$ , while User sends  $\gamma_t = \mathcal{E}_g(I(t, \kappa^*), \tau_t)$  to Server for  $t \in [\ell]$ , where each  $\tau_t$  is chosen uniformly at random from  $\mathbb{Z}_n^*$ .
- **Invoking:** User executes PIR ON 2-HYPERCUBE with Server on all  $x(d)_{d \in [\ell]}$  in parallel yet omitting the **Reconstructing** step of PIR ON 2-HYPERCUBE and complying the following:
  - User's messages are the same in all executions, with his choice fixed to be  $(i^*, j^*)$ . This says one copy is enough for all executions, and Server should reuse that copy (of  $\{\alpha_t, \beta_t\}_{t \in [\ell]}$ ).
  - Server does not send to User the pair  $(u(d), v(d))$ , namely his returning message in PIR ON 2-HYPERCUBE with respect to  $x(d)$ , after computing it.
- **Splitting-and-then-filtering:** Server instead computes  $uu_d, uv_d, vu_d, vv_d \in \mathbb{Z}_n$  such that  $u(d) = (uu_d)n + uv_d$  and  $v(d) = (vu_d)n + vv_d$ , and then sends  $uu = \prod_{d \in [\ell]} (\gamma_d)^{uu_d} \bmod n^2$ ,  $uv = \prod_{d \in [\ell]} (\gamma_d)^{uv_d} \bmod n^2$ ,  $vu = \prod_{d \in [\ell]} (\gamma_d)^{vu_d} \bmod n^2$  and  $vv = \prod_{d \in [\ell]} (\gamma_d)^{vv_d} \bmod n^2$  to User.
- **Reconstructing:** User computes

$$x(i^*, j^*, \kappa^*) = \mathcal{D}_g(\mathcal{D}_g([\mathcal{D}_g(uu)n + \mathcal{D}_g(uv)])n + \mathcal{D}_g([\mathcal{D}_g(vu)n + \mathcal{D}_g(vv)]))$$

**Lemma 4.** *Under CRA, PIR ON 3-HYPERCUBE is a one-round implementation of 1dPIR with Server-side communication  $4k$  bits and User-side communication  $3kN^{\frac{1}{3}}$  bits, where  $k = \lceil 2 \log n \rceil$  is the security parameter.*

*Proof.* The protocol is one-round as User's sending of  $\{\gamma_t\}_{t \in [\ell]}$  can be merged into the executions of PIR ON 2-HYPERCUBE. Next, note that  $[\mathcal{D}_g(uu)n + \mathcal{D}_g(uv)] = (uu_{\kappa^*})n + uv_{\kappa^*} = u_{\kappa^*}$  and that  $[\mathcal{D}_g(vu)n + \mathcal{D}_g(vv)] = (vu_{\kappa^*})n + vv_{\kappa^*} = v_{\kappa^*}$ . So we have

$$\begin{aligned} & \mathcal{D}_g(\mathcal{D}_g([\mathcal{D}_g(uu)n + \mathcal{D}_g(uv)])n + \mathcal{D}_g([\mathcal{D}_g(vu)n + \mathcal{D}_g(vv)])) \\ &= \mathcal{D}_g(\mathcal{D}_g(u_{\kappa^*})n + \mathcal{D}_g(v_{\kappa^*})) \\ &= x(i^*, j^*, \kappa^*). \end{aligned}$$

On the other hand, the security follows directly the proof for PIR ON 2-HYPERCUBE, while the Server-side communication is straightforward. Finally, the User-side communication follows the fact that User just needs to send one copy of  $\{\alpha_t, \beta_t\}_{t \in [\ell]}$ , along with  $\{\gamma_t\}_{t \in [\ell]}$ , to Server.  $\square$

In fact, the above scheme itself is a non-black-box reduction from PIR ON 3-HYPERCUBE to PIR ON 2-HYPERCUBE, and the same technique can be applied recursively.

**Theorem 1.** *Under CRA, We can construct PIR ON  $c$ -HYPERCUBE, a one-round implementation of 1dPIR with Server-side communication  $2^{c-1}k$  bits and User-side communication  $ckN^{\frac{1}{c}}$  bits for any integer constant  $c > 3$ , where  $k = \lceil 2 \log n \rceil$  is the security parameter.*

*Proof.* (SKETCH ONLY) We just give a high-level description of the claimed PIR ON  $c$ -HYPERCUBE, which invokes PIR ON  $(c-1)$ -HYPERCUBE as a sub-routine.

PIR ON  $c$ -HYPERCUBE

- **Initializing:** Server and User have to treat the  $c$ -hypercube database  $x$  as  $\ell$   $(c-1)$ -hypercube databases, while User sends the  $c$ -th dimensional encrypted indexes to Server.
- **Invoking:** User executes PIR ON  $(c-1)$ -HYPERCUBE with Server on those  $\ell$   $(c-1)$ -hypercube databases in parallel yet omitting the **Reconstructing** step of PIR ON  $(c-1)$ -HYPERCUBE and complying the following:
  - User’s messages are the same in all executions and is in accordance with his choice. This says one copy is enough for all executions, and Server should reuse that copy.
  - Server does not send his returning messages in PIR ON  $(c-1)$ -HYPERCUBE to User after computing them.
- **Splitting-and-then-filtering:** Instead, Server splits the computed returning messages and filters them by multiplying the  $c$ -th dimensional encrypted indexes raised to the splits, and then sends the results to User.
- **Reconstructing:** User reconstructs the answer by recursive decryptions.

Here the security and the User-side communication can be easily verified, while the Server-side communication follows the recursive splitting.  $\square$

**Corollary 3.** *Under CRA, PIR ON  $c$ -HYPERCUBE can be modified to implement  $\binom{N}{1}OT^\ell$  against semi-honest Receiver and malicious Sender for any  $\ell$ , while we can use the constant  $c$  as a parameter to do communication balancing between Sender and Receiver.*

**Theorem 2.** *Under CRA, PIR ON  $c$ -HYPERCUBE can be modified to implement 1dPIR with Server sending  $2^{\log N - c}$  bits and User sending  $4k$  bits.*

*Proof.* Note the User-side communication in Theorem 1 can be improved to be  $ck(2N/k)^{\frac{1}{c}}$  bits if Server divides the database into entries of  $\lfloor \log n \rfloor \simeq k/2$  bits and lets User retrieve an entry at a time. Next, note Server can divide the database into sub-databases of  $2^{2c-1}k$  bits and execute the improved scheme with User on each sub-database, with User’s choice fixed in all executions.  $\square$

## 4 Oblivious Transfers against Malicious Players

We have shown how to implement  $\binom{N}{1}OT^\ell$  against semi-honest Receiver using our 1dPIR schemes. Next, we will deal with the case of malicious Receiver. Our strategy is to employ the efficient transformation proposed in [15], which can transform any 1dPIR protocol against malicious Server on  $N$ -bit database into a communication-efficient  $\binom{N}{1}OT^\ell$  protocol against malicious players for any  $\ell$ . Note in addition to 1dPIR, the transformation requires  $\log N$  executions of a  $\binom{2}{1}OT^\rho$  protocol against malicious players, where  $\rho$  is the security parameter. Since our 1dPIR schemes are secure against malicious Server, it suffices to design

a  $\binom{2}{1}\text{OT}^\rho$  protocol secure against malicious players under CRA and plug them into the transformation. Note the  $\log N$  executions of  $\binom{2}{1}\text{OT}^\rho$  will only yield small communication overheads.

Due to the structure behind CRA, it could be easier for us to first design a  $\binom{4}{2}\text{OT}^\rho$  protocol against malicious players and then use it to implement  $\binom{2}{1}\text{OT}^\rho$ . We emphasize that the only zero-knowledge proof setup in our protocol is to prove  $n$  is valid (i.e.  $n$  is a product of two safe primes of the same length in bits), which is inevitable but can be done efficiently [17]. Besides, CRA is sufficient to guarantee the security of our protocol against malicious players.

Consider computations modulo  $n^2$ , where  $n$  is the product of two  $(\rho + 1)$ -bit safe primes  $p$  and  $q$ . Assume Sender has four  $\rho$ -bit strings  $m_1, m_2, m_3, m_4$ , and Receiver has two choices  $c_1, c_2 \in \{1, 2, 3, 4\}$  and wants to learn  $m_{c_1}$  and  $m_{c_2}$ . Here is the protocol for them to achieve this task in an oblivious way, with their security being guaranteed even if the other player is malicious.

#### 2-OUT-OF-4 STRING OBLIVIOUS TRANSFER

- Receiver uses zero-knowledge proof to convince Sender that his public key  $n$  is a product of two safe primes  $p, q$ , and computes  $a \in \mathbb{Z}_n$  such that  $[a + c_1 = 0 \pmod p]$  and  $[a + c_2 = 0 \pmod q]$ .
- Let  $g = n + 1$ ; Receiver sends  $x = \mathcal{E}_g(a, r)$  to Sender, who then verifies  $x \in \mathbb{Z}_{n^2}^*$ .
- Sender computes the following with computations modulus  $n^2$ :

$$y_1 = r_1^n (xg^1)^{\alpha_1} g^{m_1}, \quad y_2 = r_2^n (xg^2)^{\alpha_2} g^{m_2}, \quad y_3 = r_3^n (xg^3)^{\alpha_3} g^{m_3}, \quad y_4 = r_4^n (xg^4)^{\alpha_4} g^{m_4},$$

where  $r_1, r_2, r_3, r_4$ , (resp.  $\alpha_1, \alpha_2, \alpha_3, \alpha_4$ ) are chosen uniformly at random from  $\mathbb{Z}_n^*$  (resp.  $\mathbb{Z}_n$ ).

- Sender sends  $y_1, y_2, y_3, y_4$  to Receiver, who then compute

$$m_{c_1} = [\mathcal{D}_g(y_{c_1}) \pmod p], \quad m_{c_2} = [\mathcal{D}_g(y_{c_2}) \pmod q].$$

**Lemma 5.** *Under CRA, for sufficiently large  $\rho$ , the above 2-OUT-OF-4 STRING OBLIVIOUS TRANSFER is an implementation of  $\binom{4}{2}\text{OT}^\rho$  against malicious players.*

*Proof.* First, we claim Receiver is secure against malicious Sender if Receiver follows the protocol. Clearly, this claim follows the facts that  $x = \mathcal{E}_g(a, r)$  is the only message from Receiver, that  $\mathcal{E}_g$  is semantically secure, and that the generation of  $x$  does not depend on Sender's behavior.

Next, we claim the correctness can be guaranteed if both players follow the protocol. Note Receiver has the following for  $1 \leq i \leq 4$ :

$$y_i = [(r^{\alpha_i} r_i)^n g^{\alpha_i(a+i)+m_i} \pmod{n^2}].$$

In fact,  $y_i = [(\Delta_2)^n g^{\Delta_1} \pmod{n^2}]$ , where  $\Delta_1 = [\alpha_i(a+i) + m_i \pmod n]$  and  $\Delta_2 = [(r^{\alpha_i} r_i) \pmod n]$ , since  $[g^n = (n+1)^n = 1 \pmod{n^2}]$  and  $[x^n \pmod{n^2}] = [(x \pmod n)^n \pmod{n^2}]$  for  $x \in \mathbb{N}$ . Also, note  $\Delta_1 \in \mathbb{Z}_n$  and  $\Delta_2 \in \mathbb{Z}_n^*$  (since  $r, r_i \in \mathbb{Z}_n^*$ ). In consequence, we have the following for  $1 \leq i \leq 4$ :

$$\mathcal{D}_g(y_i) = \Delta_1 = [\alpha_i(a+i) + m_i \pmod n].$$

So if Receiver follows the protocol, he certainly can obtain  $[m_{c_1} \bmod p]$  and  $[m_{c_2} \bmod q]$  since  $[a + c_1 = 0 \bmod p]$  and  $[a + c_2 = 0 \bmod q]$ . Moreover, because  $m_{c_1}$  (resp.  $m_{c_2}$ ) is strictly less than  $p$  (resp.  $q$ ), we claim Receiver can learn the correct values for sure.

Last but not most importantly, we have to prove Sender's security against a malicious Receiver, and we will prove that in any case at least two out of  $\{y_1, y_2, y_3, y_4\}$  are random in Receiver's view. Recall Receiver has proven to Sender in a zero-knowledge manner that  $n$  is valid, i.e.  $n$  is a product of two  $\rho$ -bit safe primes. Conditioned on such validity of  $n$ , we obtain the following observations.

First, note that given any  $c \in \mathbb{Z}_{n^2}^*$  and the factorization of  $n$ , one can always compute the corresponding  $(a, r) \in (\mathbb{Z}_n, \mathbb{Z}_n^*)$  satisfying  $[g^a r^n = c \bmod n^2]$  by the following:

$$a = \mathcal{D}_g(c), \quad c_* = cg^{-a}, \quad r = [c_*^{(n^{-1} \bmod \lambda)} \bmod n].$$

Recall such mapping is bijective (see Section 2). Next, note one can always decide whether a given value is in  $\mathbb{Z}_{n^2}^*$  or not (by checking whether it is in  $[n^2]$  and is relative prime to  $n$ ). So we claim

- Receiver cannot send a message  $\notin \mathbb{Z}_{n^2}^*$  as Sender can detect it easily, and thus
- Sender can be sure that the only message from Receiver is of the form  $[g^a r^n \bmod n^2]$  for some  $(a, r) \in (\mathbb{Z}_n, \mathbb{Z}_n^*)$  and that Receiver chooses and knows  $(a, r)$  directly or indirectly.

In other words, Receiver's malicious behavior is restricted within the choices of  $a$  and  $r$ .

Next, the following proof goes for any fixed  $a, r$ , and  $m_1, m_2, m_3, m_4$ . Note that at least two out of four successive integers are relative prime to  $n$ , so we know at least two elements of  $\mathcal{A} = \{a_i \mid a_i = a + i \bmod n\}_{1 \leq i \leq 4}$  are in  $\mathbb{Z}_n^*$  and thus have their own inverses. Assume  $a_i \in \mathbb{Z}_n^*$  for some  $1 \leq i \leq 4$ . We claim  $y_i$  is uniformly distributed in Receiver's view, by the following observations:

- $y_i = [(\Delta_2)^n g^{\Delta_1} \bmod n^2]$ , where  $\Delta_1 = [\alpha_i a_i + m_i \bmod n]$  and  $\Delta_2 = [(r^{\alpha_i} r_i) \bmod n]$ .
- $\Delta_1$  is uniformly distributed in  $\mathbb{Z}_n$ . (Because  $a_i \in \mathbb{Z}_n^*$  and  $\alpha_i$  is uniformly distributed in  $\mathbb{Z}_n$ , we know  $[\alpha_i a_i \bmod n]$  is uniformly distributed in  $\mathbb{Z}_n$ , and so is  $\Delta_1$ .)
- When  $\Delta_1$  is fixed,  $\Delta_2$  is uniformly distributed in  $\mathbb{Z}_n^*$ . (Since  $m_i$  and  $\Delta_1$  are fixed, so is  $\alpha_i = (\Delta_1 - m_i)(a_i)^{-1}$ ; since  $r \in \mathbb{Z}_n^*$  and  $r_i$  is uniformly distributed in  $\mathbb{Z}_n^*$ , we know  $\Delta_2$  is uniformly distributed in  $\mathbb{Z}_n^*$ .)
- $y_i = [(\Delta_2)^n g^{\Delta_1} \bmod n^2]$  is uniformly distributed in  $\mathbb{Z}_{n^2}^*$  due to the bijective mapping.

Consequently, we claim at least two of  $\{y_1, y_2, y_3, y_4\}$  are random in Receiver's view, and thus leak no information about the corresponding strings. Since  $y_1, y_2, y_3, y_4$  are the only messages from Sender, we finish the proof of Sender's security against a malicious Receiver.  $\square$

**Theorem 3.** 2-OUT-OF-4 STRING OBLIVIOUS TRANSFER can be directly used to implement  $\binom{2}{1}\text{OT}^\rho$  against malicious players.

*Proof.* Assume Sender has two  $\rho$ -bit strings  $x_0, x_1$ , and Receiver has a choice  $b \in \{0, 1\}$  and wants to learn  $x_b$ . It suffices for Sender to choose two  $\rho$ -bit random strings  $\sigma_1, \sigma_2$  and execute 2-OUT-OF-4 STRING OBLIVIOUS TRANSFER with Receiver using the following settings:  $m_1 = x_1 \oplus \sigma_1, m_2 = \sigma_1, m_3 = x_2 \oplus \sigma_2, m_4 = \sigma_2, c_1 = 2b + 1, c_2 = 2b + 2$ , where  $\oplus$  means bitwise exclusive-or.  $\square$

As mentioned, we can plug the above  $\binom{2}{1}\text{OT}^\rho$  and our 1dPIR schemes into the transformation of [15] to obtain efficient  $\binom{N}{1}\text{OT}^\ell$  protocols against malicious players for any  $\ell$ . We leave the complexity analysis in the full paper.

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